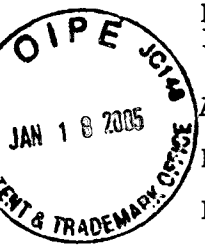


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



First Named
Inventor : William D. Ramsey

Appln. No. : 10/679,556

Filed : October 6, 2003

For : METHOD AND APPARATUS FOR
IDENTIFYING SEMANTIC
STRUCTURES FROM TEXT

Docket No.: M61.12-0523

Group Art Unit: 2121

Examiner:

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS BEING
SENT BY U.S. MAIL, FIRST CLASS, TO THE
COMMISSIONER FOR PATENTS, P.O. BOX 1450,
ALEXANDRIA, VA 22313-1450, THIS

14th
DAY OF *January*, 20*05*
[Signature]
PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

The information disclosure statement is being filed:

1. x with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. a statement as specified in 37 C.F.R. § 1.97(e).

B. the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).

3. after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:

- A. a statement as specified in 37 C.F.R. § 1.97(e);
- B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

 that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

 that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

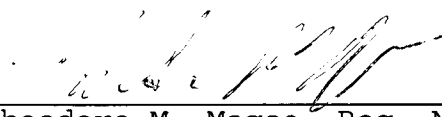
 x No fee is required.

 Attached is a check in the amount of \$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

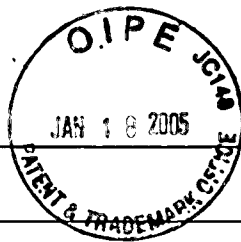
Respectfully submitted,

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FORM PTO-1449

Atty. Docket No.:
M61.12-0523Appl. No.:
10/679,556LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

First Named Inventor:

William D. Ramsey

Filing Date

Group Art:

October 6, 2003

2121

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

M.C.	AA	Alabiso, B. et al. "LEAP: Language Enabled Applications," Proceedings of the First Workshop on Human-Machine Conversation, Bellagio, Italy (July 1997).
	AB	Lavie, A., "GLR*: A Robust Parser for Spontaneous Spoken Language," Proceedings of ESSLLI-96 work-shop on Robust Parsing, Prague, Czech Republic (August 1996).
	AC	Miller, S. et al., "Hidden Understanding Models of Natural Language," Proceedings of the 31 st Annual Meeting of the Association for Computational Linguistics, New Mexico State University (1994).
	AD	Ward, W., "The CMU Air Travel Information Service: Understanding Spontaneous Speech," Proceedings of the DARPA Speech and Natural Language Workshop (1990).
	AE	Ward, W. "Understanding Spontaneous Speech: the Phoenix System," IEEE International Conference on Acoustics, Speech and Signal Processing (1991).
	AF	Microsoft Speech SDK with SAPI 5.0, 94 pages (September 1999).
	AG	Wang, K., "Implementation of Multimodal Dialog System Using Extended Markup Languages," 6 pages (undated). "
	AH	Wang, K. , " A Plan-based Dialog System With Probabilistic Inferences," 5 pages.
	AI	Wang, K., "An Event-Driven Model for Dialogue System," Proc. ICSLP-98, (Dec. 1998).
	AJ	Gorin, A. et al., "How May I Help You?" Speech Communications, Vol. 23, (1997).
	AK	"A Stochastic Model of Human-Machine Interaction for Learning Dialog Strategies," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 11-23, (January 2000).
	AL	"The Thoughtful Elephant: Strategies for Spoken Dialog Systems," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 51-62, (January 2000).
	AM	"JUPITER: A Telephone-Based Conversational Interface for Weather Information," IEEE Transactions on Speech and Audio Processing, Vol. 8, No. 1, pages 85-96, (January 2000).
	AN	Wang, Y. "Robust Language Understanding in MIPAD," 4 pages (undated).
	AO	Weimer-Hastings, Peter and Zipitria, Iraide, "Rules for Syntax, Vectors for Semantics," In: Proceedings of the Twenty-Third Annual Conference of the Cognitive Science Society, 1991.
	AP	Wang, Ye-Yi, "A Robust Parser for Spoken Language Understanding," Eurospeech 1999.
✓	AQ	Wang, Ye-Yi, "Robust Language Understanding in Mipad," ICASSP, 2001.

EXAMINER: /Michael Colucci/

DATE CONSIDERED: 08/19/2009

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.